

Patent application

LUC-015

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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n re application of: Poopathy Kathi	rgamanathan et al.	-14/2
Filed: June 24, 2005	Group Art Unit: Examiner:	
For: Electroluminescent Materi	als and Devices	•
BEFORE MAILING OF FIRST	MONTHS OF FILING OFFICE ACTION (3 ER 37 C.F.R. 88 1.8(a) an	G OR 7 C.F.R. § 1.97(b))
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Date: July 15, 2004	Leslie R. Silv	
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(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [6-3]—page 1 of 3)

NOTE: 37 C.F.R. 1.98(b):

(1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.

(2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.

(3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.

(4) Each to reign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.

(5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. \$ 1.136 (a) or (b) for filing an IDS. 37 C.F.R. \$ 1.97(f).

NOTE: The "filling date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filling is defined not be the United States Patent 87 radiamant Office, the filling is defined in 37 C.F.R. § 1.53(b) as "the date on which." (f) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.72, and (f) any drawing required by § 1.81(b), are filled in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(f). On the other hand, an international application that enters the radional state occurs when the applicant has filled the documents and fees required by 95 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.493. SS U.S.C. § 371(c) requires the filling of the following: (1) the basic national file: (2) a copy of the International application, unless already sent by the International Bureau, and optionally an English treastation if filled in another language; and, also optionally (3) amendmants under PCT Article 19, with a translation into English of any annexes to the international polarimary examination report, if such annexes were made in another language, The optional items must be submitted fater, with surcharges, 37 C.F.R. § 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disciosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompenied by a property executed certificate of mailing under 37 C.F.R. 1.6, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.F.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations in-part) is three-months will be measured from the actual filling date of an application as opposed (sic) to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 2 of 3) NOTE: "An action on the ments means an action which treats the patentability of the claims in an application, as opposed to only formed or procedural requirements. An action on the ments would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a nestriction requirements (27 C.F.R. 1.140) or just a requirement for actificional treas to have a claim considered (27 C.F.R. 1.160). Thus, if an application was Bed on in. It and the first Other action on the ments was not malled until at months later on July 1, the cambries would be required to cracker any proper information disclosure statement filed prior to July 1. "Notice of April 20, 1902 (1738 Oct. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63) But see § 103(b) and (d, finited suspension of action in a continued prosecution application (CPA) filed under § 1.53(c) and in a request for continued examination (RCC) under § 1.114.

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(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [6-3]—page 3 of 3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ofIn	rentor(s)	
forTitle	of invention	
the specification of which is being transm	itted herewith	
•	OR	
In re application of: Poopathy Kathirg.	manathan et al.	
Application No.: 10/540,732 Filed: June 24, 2005 For: Electroluminescent Material	Group No.: Examiner: s and Devices	RECEIV
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	TEC	HNOLOGY CENTER
	SCLOSURE STATEMENT	
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(Information Disclosure Statement [8-1]-page 1 of 3)





INFORMATION DISCLOSURE STATEMENT

Attached is form PTO-1449A.

Each of the references listed on PTO-1449A was cited in the International Search Report (copy enclosed) in the PCT application upon which the present Sec. 371 national stage application is based.

Respectfully submitted,

David Silverstein

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Tiling Date June 24, 2005
First Named Inventor Arthrit Sammanathan, P.
Art Unit Sammer Name
Altomey Docket Namber LUC-015

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U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear Document Number Applicant of Cited Docu Number-Kind Code² (**Incom) US US ŪS. 119 US US-US-US RECEIVED US US TIE JUL 2 8 2005 US.

FOREIGN PATENT DOCUMENTS

Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Peges, Columns, Lines,	Γ.	
	No.'	Country Code ³ Hamber ^a 1Grid Code ³ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	r
		EP 1 348 711	10-01-2003	Kamatani et al		Г
		WO 02/02714	01-10-2002	Petrov et al.		
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(Information Disclosure Statement by Applicant (PTO/SB/08A) (1449A/PTO) [6-2]-page 1 of 1)

PTO/States (08-02) U.S. Patent and Tredemark Chart U.S. DEPARTITIENT OF COMMERCE Under the Papermonk Reduction Acold 1995, no persons a fin prepared to respond to a collection of information untess it contains a valid OMB content number.						
Substitute for form 1449B/PTO		Complete if Known				
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				Art Unit		
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Sheet -	2	of	2	Attorney Docket Number	LUC-015	

	NOM PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the ericle (when appropriate), title of the item (book, magazine, journal, serial, symposium, catelog, etc.), date, page(s), volume-lesue number(s), publisher, city and/or country where publisher where publisher.	T²		
		Database WPI, Derwent Publications Ltd, London, GB; XP002278089 & JP 2002 105055 (Fuji Photo Film Co Ltd), 10 April 2002			
-		Yamazaki, S. "Linkage modes of metal-tetraketone complexes" TRENDS in INORGANIC CHEMISTRY, Vol. 1, 1990, pages 75-84, XP0008030188 the whole document			
		Charles, R G "Metal Chelate Polymers Derived from Tetra- acetylethane" JOURNAL OF POLYMER SCIENCE PART A, Vol. 1, 1963, pages 267-276, XP002278088			
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(Information Disclosure Statement by Applicant (PTO/SB/08B) Other Prior Art—NonPatent Literature Documents (1449A/PTO) [6-2.1]—page 1 of 1)